Meet the LUMINARIES Class of 2021

By Janet Levaux for ThinkAdvisor

We're pleased to present the first winners of our new, pioneering recognition program: the LUMINARIES. The program's Class of 2021 members are being honored for the dynamic and inclusive ways they are driving the wealth, investment and retirement industry forward.

An evolution of our Broker-Dealers of the Year awards, the LUMINARIES program shines a spotlight on how the top-performing industry participants are producing meaningful results in the areas that matter most to advisors, rather than emphasizing asset levels, rankings or the latest industry buzz.

The Class of 2021 includes winners in four categories: Diversity & Inclusion, Thought Leadership, Executive Leadership and Dealmaking/Growth. Profiles of those being recognized in the first two categories will be posted soon, while those for the honorees in the second two categories will be posted in October.

Members of the Class of 2021 LUMINARIES were selected by a distinguished and diverse panel of judges from across the advice industry, as well by our editorial team. Their stories will be told in print and online via features, interviews, podcasts and other coverage, and on stage at the program's inaugural awards dinner — set to take place Nov. 9 at the Mandarin Oriental in New York.

Many congratulations to Class of 2021 and many thanks to all participants in the inaugural LUMINARIES program.

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Diversity and Inclusion LUMINARIES

Firms

- Adasina Social Capital
- Allianz Life
- Blaylock Van
- BNY Mellon Pershing
- Facet Wealth
- Freeman Capital
- PGIM

- Rose Capital Advisors
- Snappy Kraken

Individuals – Asset Management/Portfolio Firms

- Rachel Robasciotti, Adasina Social Capital
- Barbara Fuchs, PGIM
- Katie Moore, Hamilton Lane
- Christopher Gandy, Midwest Legacy Group, LLC
- Shundrawn Thomas, Northern Trust Asset Management

Individuals – Broker-Dealers

- Rudy Rodriguez, Ameriprise Financial
- Amy Webber, Cambridge Investment Research, Inc.
- Fanci Worthington, Cetera Investors
- Tom Hake, Raymond James/Hake Investment Group

Individuals – Other

- Natasha Horn, BNY Mellon's Pershing
- Denise Williams, FIS
- Michael Kitces, Kitces.com
- Leslie Tabor, Schwab Advisor Services
- Brian Haney, The Haney Company

Individuals – RIAs

- **Akeiva Ellis, Ballentine Partners LLC**
- Sarah Cain, Carson Group
- Rose Niang, Edelman Financial Engines

- Jim Roberts, Jim Roberts Investment Advisors LLC

Programs, Products & Services – Asset Management/Portfolio Firms

- Bailard
- Charles Schwab and Ariel Investments
- Hamilton Lane
- John Hancock
- PGIM

Programs, Products & Services – Broker-Dealers

- American Portfolios
- Baird
- Raymond James

Programs, Products & Services – Other

- CHIP (Changing How Individuals Prosper)
- Dynasty Financial Partners
- FARE – Nationwide
- FMG Suite
- University of Akron, Financial Planning Program, College of Business

Thought Leadership & Education LUMINARIES

Firms – Asset Management/Portfolio Firms

- **Black Creek Group**
- **BlackRock**
- **Cambiar Investors**
- **Charles Schwab and Ariel Investments**

- **Fidelity Investments**
- **New York Life Investments**
- **Transamerica**

Firms – Broker-Dealers

- **Cambridge Investment Research, Inc.**
- **Incapital**
- **LifeMark Securities Corp**
- **Peak Reps LLC**

Firms – Fintech/TAMP Platforms

- **Envestnet**
- **FMG Suite**
- **iCapital Network**
- **Smarsh**
- **SMArtX Advisory Solutions**
- **Snappy Kraken**

Firms – Other

- **BNY Mellon Wealth Management**
- **BNY Mellon's Pershing**
- **Carver Financial Services**
- **NaviPlan by InvestCloud**

Firms – Partnerships and Consulting Groups

- **Arnerich Massena, Inc.**
- **Ed Slott and Company**

- **FiComm Partners**
- **Financial Planning Association**
- **RDCL + FlexShares ETFs**

Firms – RIAs

- **Avitas Wealth Management**
- **Edelman Financial Engines**
- **Matson Money'**
- **RFG Advisory**
- **Robertson Stephens**
- **The Humphreys Group**

Individuals – Asset Management/Portfolio Firms

- **Omar Aguilar, Charles Schwab Investment Management**
- **Lisette Cooper, Fiduciary Trust International**
- **Matt Hall, Hill Investment Group**
- **David Waddell, Waddell & Associates**
- **Loreen Gilbert, WealthWise Financial Services**

Individuals – Other

- **Barrett Ayers, Adhesion Wealth**
- **LaChadra Mason, BNY Mellon Pershing**
- **Amy Webber, Cambridge Investment Research, Inc.**
- **Samantha Russell, FMG Suite**
- **Jacob Gold, JG&A**
- **Jeffrey Rotman, Rotman and Associates**

Individuals – Partnerships and Consulting Groups

- **Gretchen Halpin, Beyond AUM**
- **Amy Florian, Corgenius, Inc.**
- **Doug Fritz, F2 Strategy**
- **Marie Swift, Impact Communications, Inc.**
- **Michael Kitces, Kitces.com**
- **Sheryl Moore, Wink, Inc.**

Individuals – RIAs

- **Eric Roberge, Beyond Your Hammock**
- **Sue Stevens, Buckingham Strategic Wealth**
- **Taylor Schulte, Define Financial**
- **Peter Krull, Earth Equity Advisors**
- **Kimberly Foss, Empyrion Wealth Management**
- **Hannah Moore, Financial Planning Association**
- **Gary Furukawa, Freestone Capital Management**
- **Josh Crawford, Matson Money**
- **Stacey McKinnon, Morton Capital**
- **Greg Friedman, Private Ocean Wealth Management**
- **Rick Wedell, RFG Advisory**
- **Justin Castelli, RLS Wealth**
- **Ross Marino, Transitus Wealth Partners & Advisor2X**

Products, Programs & Services – Asset Management/Portfolio Firms

- **AllianceBernstein**

- **BlackRock**
- **Charles Schwab and Ariel Investments**
- **Innovator Capital Management**
- **iSectors, LLC.**
- **Macquarie Asset Management**
- **Northern Trust Asset Management**
- **State Street Global Advisors**

Products, Programs & Services – Broker-Dealers

- **Advisor Group**
- **American Portfolios Financial Services, Inc.**
- **Atria Wealth Solutions**
- **Peak Reps LLC**
- **Prospera Financial Services**
- **Raymond James**
- **The Investment Center, Inc.**

Products, Programs & Services – Fintech/TAMP Platforms

- **Addepar**
- **Adhesion Wealth**
- **Advisor360°**
- **CHIP (Changing How Individuals Prosper)**
- **Envestnet | MoneyGuide**
- **Laserfiche**
- **Proteus**

Products, Programs & Services – Other

- **Arnerich Massena, Inc.**
- **Beacon Pointe**
- **BNY Mellon Wealth Management**
- **Clarity 2 Prosperity**
- **CUNA Mutual Group**
- **Hightower**
- **Schwab Advisor Services**
- **SmartAsset**
- **Succession Resource Group**

Executive Leadership LUMINARIES

Firms

- Archford
- Beyond AUM
- Cambridge Investment Research, Inc.
- CBOE Vest
- Flexible Plan Investments, Ltd.
- Geneos Wealth Management
- LPL Financial CEO Dan Arnold
- Quantum Partners, LLC
- Vanilla

Individuals – Asset Management/Portfolio Firms

- Monali Vora, Goldman Sachs

- Bruce Bond, Innovator Capital Management
- Manish Khatta, Potomac Fund Management
- Cheri Belski, T Rowe Price

Individuals – Broker-Dealers

- Erinn Ford, Advisor Group
- Lon Dolber, American Portfolios Financial Services, Inc.
- Dawn Cooper, Ameriprise Financial
- Steve Stroker, Black Creek Capital Markets
- Amy Webber, Cambridge Investment Research, Inc.
- Eric Schwartz, Cambridge Investment Research, Inc.
- Dan Arnold, LPL Financial
- Kirstin Hill, Merrill Lynch Wealth Management
- Adam Lampe, Mint Wealth Management
- Evamarie Schoenborn, Northwestern Mutual Wealth Management Company
- Tash Elwyn, Raymond James

Individuals – Fintech/TAMP Platforms

- Matt Brown, CAIS
- David Knoch, Docupace
- Bill Crager, Envestnet
- Scott MacKillop, First Ascent Asset Management
- John Lunny, Vestmark

Individuals – Other

- Catherine Keating, BNY Mellon Wealth Management

- Debra Guarino, BNY Mellon's Pershing
- Andrew Salesky, Charles Schwab
- David Lau, DPL Financial Partners
- Sheryl O'Connor, IncomeConductor

Individuals – RIAs

- Jonathan Straub, AdvicePeriod
- James Maher, Archford
- Kelley Downing, Bartlett Wealth Management
- Shannon Eusey, Beacon Pointe Advisors
- Hamesh Chawla, Edelman Financial Engines
- Larry Miles, Freestone Capital Management
- Mark Matson, Matson Money
- Edward Deutschlander, North Star Resource Group
- Stuart Katz, Robertson Stephens
- Michael Carvin, SmartAsset
- Haleh Moddasser, Stearns Financial Group
- Ken Van Leeuwen, Van Leeuwen & Company
- Jeff Pierce, Wipfli Financial Advisors

Products, Programs & Services

- American Portfolios Financial Services, Inc.
- Brentmark, Inc.
- CircleBlack
- d1g1t

- IncomeConductor
- La Meer Inc.
- NaviPlan by InvestCloud

Dealmaking and Growth LUMINARIES

Firms

- Advisor Group
- Atria Wealth Solutions
- Beacon Pointe Advisors
- Blaylock Vann
- CI Financial
- Hightower Advisors
- LPL Financial
- Sanctuary Wealth
- Vestwell

Individuals

- Brandon Kawal, Advisor Growth Strategies
- Matthew Cooper, Beacon Pointe Advisors
- Scott Holsopple, Hightower Advisors
- Kristen Bauer, Laird Norton Wealth Management
- Dan Arnold, LPL Financial
- David Grau Jr., Succession Resource Group

Products, Programs & Services – Asset Management/Portfolio Firms

- BNY Mellon | Pershing

- Hamilton Lane
- Macquarie Asset Management

Products, Programs & Services – Other

- American Portfolios Financial Services, Inc.
- LPL Financial
- SS&C Advent

Products, Programs & Services – Partnerships and Consulting Groups

- Advisor Legacy
- LendingWell
- PPC LOAN
- Succession Resource Group

Products, Programs & Services – RIAs

- Hightower
- Proteus
- Robertson Stephens